

# FABRICATION OF HfO<sub>2</sub> PATTERNS BY NANOSCALE LITHOGRAPHY METHODS AND SELECTIVE DRY ETCHING FOR III-V CMOS APPLICATION

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The replacement of silicon channels by high carrier mobility III-V semiconductors, i.e. GaAs, InGaAs, in combination with Hf-based high-k gate dielectrics offers the possibility of reduced power consumption and enhanced performance for metal-oxide-semiconductor field-effect transistors (MOSFET) in future technologies.<sup>1</sup> Both conventional single-gate and novel multi-gate device architectures based on high-k/III-V stacks<sup>2,3</sup> will need the development of fabrication processes that meet the stringent requirements of the ITRS roadmap. Of particular importance is the availability of a reliable process for the selective etching of ultra-thin HfO<sub>2</sub> layers on GaAs. Key requirements are a high degree of reproducibility in terms of etch rate control, HfO<sub>2</sub> etch selectivity, i.e. the ratio of the HfO<sub>2</sub> etch rate to the GaAs etch rate, high anisotropy, absence of GaAs lattice damage, and potential application to gate stack nanopatterning.

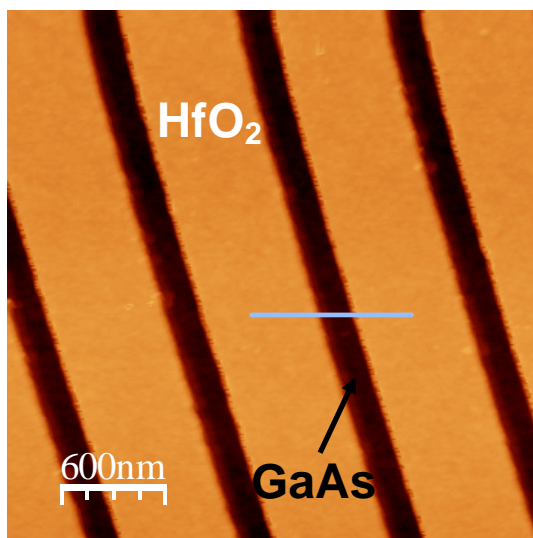
Dry etching of HfO<sub>2</sub> films deposited on silicon substrates has been extensively studied using several plasma chemistries based on fluorine (F), chlorine (Cl), bromine (Br), and methane (CH<sub>4</sub>).<sup>4-9</sup> By contrast, no studies have been reported to date relative to nanopatterning and etching of HfO<sub>2</sub> layers deposited on GaAs substrates. In this work, we investigate the reactive ion etching (RIE) characteristics of ultra-thin HfO<sub>2</sub> layers (10-15nm) deposited on GaAs, using two plasma chemistries, BCl<sub>3</sub>/O<sub>2</sub> and SF<sub>6</sub>/Ar, with specific emphasis placed on the optimization of the etch selectivity, etch depth control and surface morphology of the underlying GaAs substrate. Our study shows that etching in a BCl<sub>3</sub>/O<sub>2</sub> plasma leads to a poor selectivity of HfO<sub>2</sub> over GaAs due to the relatively fast etching rate of GaAs in this ambient. But the lower volatility of the reaction products between GaAs and F slows down the GaAs etch rate in the SF<sub>6</sub>/Ar plasma, which combined with the sputtering action of Ar allows for an adequate control of the HfO<sub>2</sub> etching rate and good etch selectivity (1.5) with respect to the GaAs substrate.

Two lithographic techniques, namely e-beam (EBL) and laser interference nanolithography were used to fabricate of deep-submicron HfO<sub>2</sub>/GaAs structures. After masking the HfO<sub>2</sub>/GaAs samples with polymethylmethacrylate (PMMA) resist, different patterns consisting of open stripes with nominal widths between 100 and 500 nm were transferred by lithography. Subsequently, the exposed HfO<sub>2</sub> areas were selectively removed by RIE in a SF<sub>6</sub>/Ar plasma. Morphological and compositional characterization of the resulting nanopatterns by atomic force microscopy (AFM), high-resolution scanning electron microscopy (HRSEM), energy-dispersive X-ray spectroscopy microanalysis (EDX), and transmission electron microscopy (TEM), has shown the formation of well defined HfO<sub>2</sub> structures with nanometre-scale line width control and anisotropic profiles. In addition, atomically smooth, stoichiometric and residue-free bottom GaAs etched lines with a lateral dimension of ~50 nm have been achieved, thus demonstrating the feasibility of SF<sub>6</sub>/Ar plasma processing to nanopattern high-k gates in advanced CMOS fabrication.

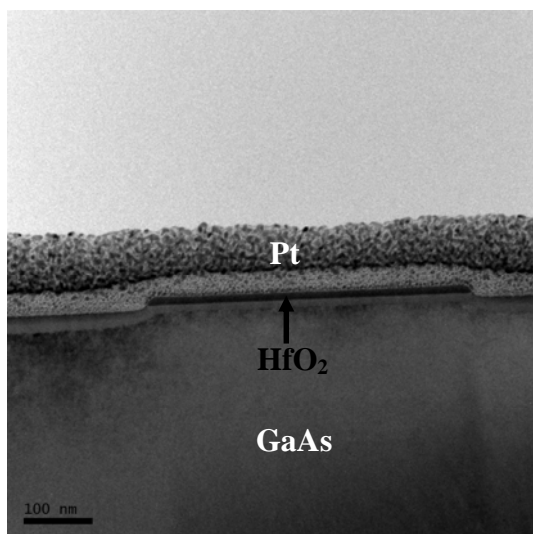
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## Figures



**Figure 1.** AFM image ( $3\mu\text{m}\times 3\mu\text{m}$ ) of the patterned  $\text{HfO}_2$  surface morphology showing a series of 200nm-wide etched trenches



**Figure 2.** TEM cross section images of a 400 nm-wide  $\text{HfO}_2$  mesa stripe after etching in  $\text{SF}_6/\text{Ar}$  plasma.