

# ***“Research Ethics and nanoScience”***

***Fernando Briones***

Real Academia de Ciencias



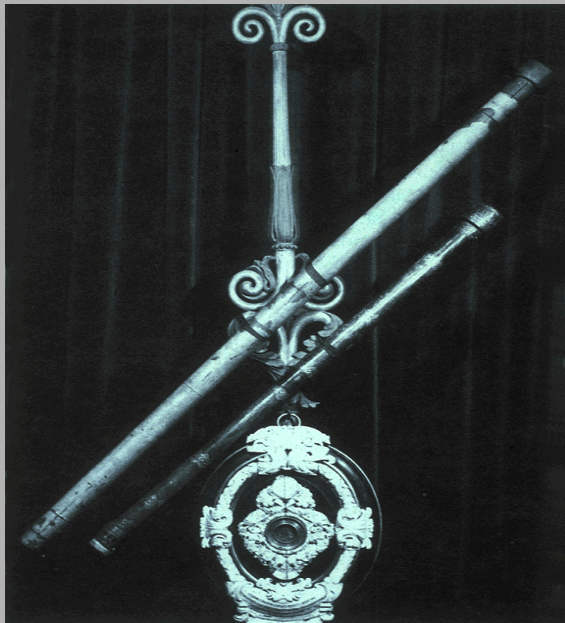
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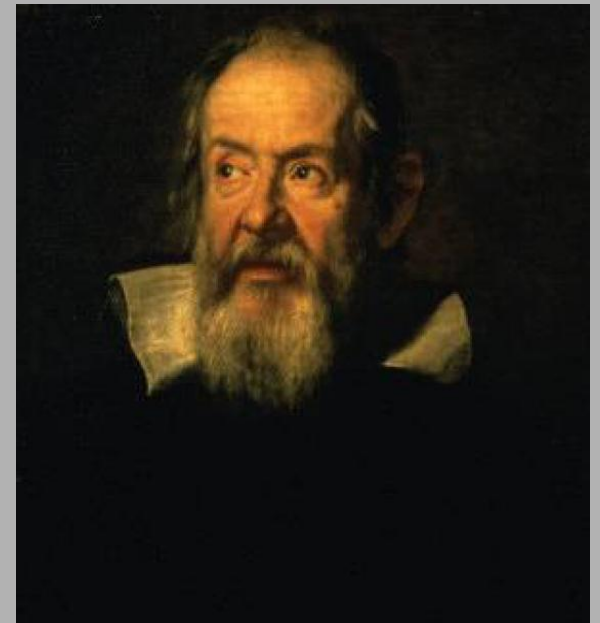
**Accademia dei Lincei** Founded in 1603 by Federico Cesi (1585-1630)  
Villa Farnese, Trastevere, Roma

affreschi by Raffaello Sanzio



**Galileo Galilei**

Justus Sustermans (Antwerp 1597-1681), Galleria Uffizi, Florence  
Oil on linen, 66 x 56cm, 1636

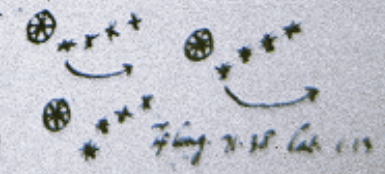


Seo<sup>mo</sup> Principe.

Galileo Galilei Humilis<sup>s</sup> Servo della Ser.<sup>a</sup> V.<sup>a</sup> inuigilanza.  
 Io desidero, et lo ogni spirito se potere no solo satisfare  
 aliaro che nome della Lettera di Mad<sup>ma</sup> Mat<sup>te</sup> nella Ser.<sup>a</sup>  
 di Padova,

Inuice d'auere determinato di presentare al Seo<sup>mo</sup> Principe  
 l'Orbale et il p<sup>o</sup> essere di Giuamento inestimabile se ogni  
 ragione et in terra marittima o terrestre stano di tenere qual  
 che nuovo artificio nel maggior segreto et solam<sup>e</sup> a disposizione  
 di S.<sup>o</sup> Ser.<sup>a</sup> L'Orbale auato dalle piu<sup>e</sup> di dite speculazioni di  
 prospettiva ha l'auantaggio di scoprire Legni et Vele dell'inimico  
 & far hore et pu<sup>e</sup> di tempo prima che egli scuopra noi et distinguendo  
 il numero et la qualita dei Vasselli giudicare la sua forte  
 pallesirsi alla caccia al combattimento o alla fuga, o pure auere  
 nella capagna aperta uedere et particolarmente distinguere ogni suo  
 moto et propriamento.

Adi 7. di Gennaio  
 Giove si uede u<sup>o</sup> \* \* \* \* \*  
 Adi 8 u<sup>o</sup> \* \* \* \* \*  
 \* \* \* \* \* era d'uy diretto et no retrogrado  
 Adi 12. si uede in tale costituzione \* \* \* \* \*  
 V. B. si uedono uicini<sup>e</sup> a Giove 4 stelle \* \* \* \* \*  
 Adi 14 è rugolo \* \* \* \* \*  
 Adi 15 \* \* \* \* \* la pross<sup>a</sup> a 4 era in mig<sup>e</sup> la 4<sup>a</sup> era di-  
 stante dalla 3<sup>a</sup> il doppio circa  
 Lo spazio delle 3 occidentali no era  
 maggiore del diametro di 7 et era  
 uero in linea retta.



Adi 7. di Gennaio 1610 Giove si uedeva col Cannone u<sup>o</sup>  
 3. stelle fiffe u<sup>o</sup> \* \* \* \* \* della quali se tra il uenire  
 minor si uedeua \* \* \* \* \* a di 8. appariva u<sup>o</sup> \* \* \* \* \* era d'uy  
 diretto et no retrogrado come fuggono i calulatori.

Adi 9. fu rugolo. a di 10. si uedeua u<sup>o</sup> \* \* \* \* \*  
 quanto si in piu occidentale si che la uelutaua quanto a suo oriente.

Adi 11. era in questa guida \* \* \* \* \* et la stella piu vicina  
 a Giove era la medesima minore dell'altra, et uicini<sup>s</sup>ima all'altra  
 come che le altre sare erano la dette stelle appaite tutte tre  
 di equal grandezza et tra di loro equal<sup>e</sup> lontore; dal che  
 appare intorno a Giove esser 3. altre stelle errati inuisibili ad  
 ogni uno s<sup>o</sup> a questo tempo.

Adi 11. si uede in tale costituzione \* \* \* \* \* era la stella  
 occidentale poco minor dello orientale, et giove era in mezzo lontano  
 da l'ora et dall'altra quanto il suo diametro e circa: et forse era  
 una terza fucida et uicini<sup>s</sup> a 7 verso oriente; anzi pur in era  
 ueromp hauero io u<sup>o</sup> piu dilige<sup>n</sup>ta osservato, et uede piu imbrunita  
 notte.

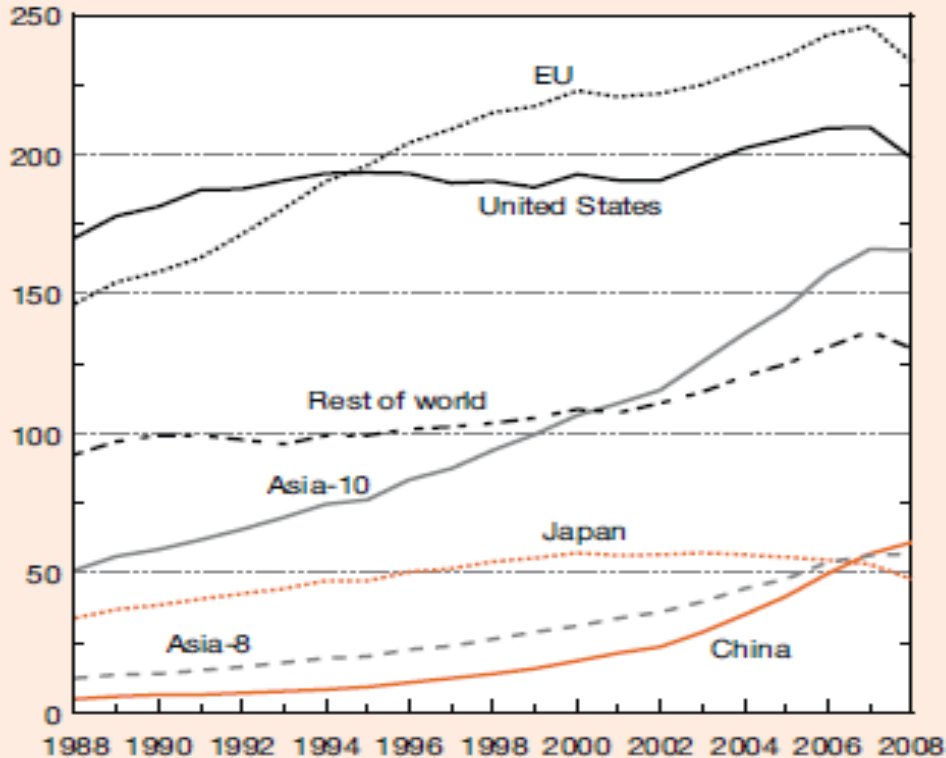
Adi 13. hauero ben<sup>e</sup> formato lo scrum<sup>o</sup>. si uedono uicini<sup>s</sup> a Giove  
 4. stelle in questa costituzione \* \* \* \* \* d' meglio u<sup>o</sup> \* \* \* \* \*  
 e tutte apparivano della medesima grandezza, lo spazio delle 3. occidentali  
 no era maggiore del diametro di 7. et erano fra di loro notabil<sup>e</sup>  
 l'umiane che le altre sare; ne erano in linea retta equidistanti come  
 si au<sup>o</sup> in la media dello zodiacale: era in poco eleuata, uero la  
 piu occidentale alquanto depressa; sono queste stelle tutte molto lucide bene  
 fucidiss<sup>e</sup> et altre fine et appariscono della medesima grande<sup>a</sup> no sono  
 u<sup>o</sup> splendidi.

Adi 14. fu rugolo. Adi 15. era u<sup>o</sup> \* \* \* \* \* la pross<sup>a</sup> a  
 7. era la minore et le altre dimano e meno maggiori: gli inter<sup>e</sup>st<sup>e</sup>  
 tra 7. et la 3. sequeti erano, quanto il diametro di 7. ma la 4<sup>a</sup> era di-  
 stante dalla 3<sup>a</sup> il doppio circa: ad fine  
 erano iteram<sup>e</sup> linea retta, ma uero non era  
 l'etempio, erano al solito uicini<sup>s</sup> de la 3<sup>a</sup>  
 7. 11. et uicini<sup>s</sup> uicini<sup>s</sup> come au<sup>o</sup> f<sup>o</sup> u<sup>o</sup>

7. lug 21. 30. lat. 1. 13.

## S&E journal articles produced by selected regions/ countries: 1988–2008

Thousands



EU = European Union

NOTES: See glossary for countries included in Asia-8 and Asia-10. EU includes all 27 member states. Articles classified by year of publication and assigned to region/country on basis of authors' institutional address(es). For articles with collaborating institutions from multiple countries/ economies, each country/economy receives fractional credit on basis of proportion of its participating institutions. Counts for 2008 are incomplete.

SOURCES: Thomson Reuters, Science Citation Index and Social Sciences Citation Index, [http://thomsonreuters.com/products\\_services/science/](http://thomsonreuters.com/products_services/science/); The Patent Board™; and National Science Foundation, Division of Science Resources Statistics, special tabulations.

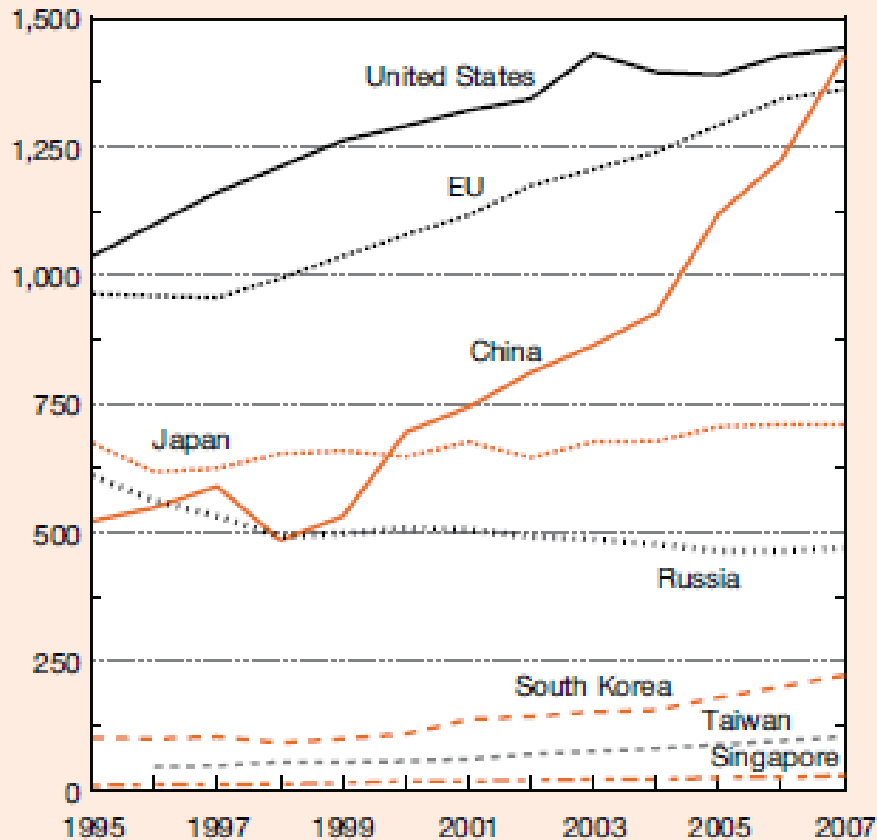
*Science and Engineering Indicators 2010*

Science and engineering SCI articles  
by year and origin

OECD data published in 2010

## Researchers in selected regions/countries/ economies: 1995–2007

Thousands (FTE)



EU = European Union; FTE = full-time equivalent

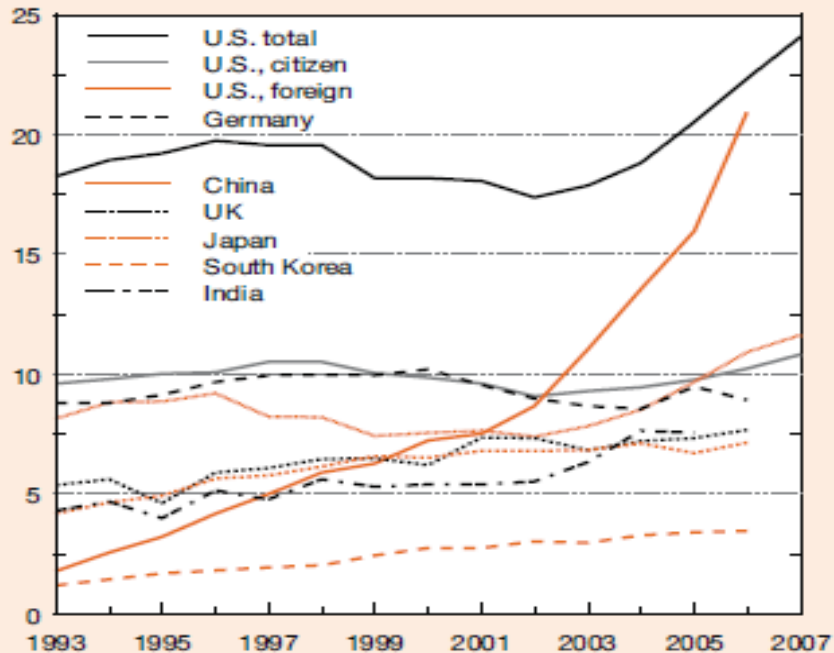
NOTES: Researchers are full-time equivalents. Time span is 1995–2007 or closest available year. U.S. data for 2007 estimated based on 2004–06 growth rate.

SOURCE: Organisation for Economic Co-operation and Development, *Main Science and Technology Indicators* (2009/1 and previous years).

Total number of FTE  
researchers in S&E  
(Full time equivalents)

## Doctoral degrees in natural sciences and engineering, selected countries: 1993–2007

Thousands



UK = United Kingdom

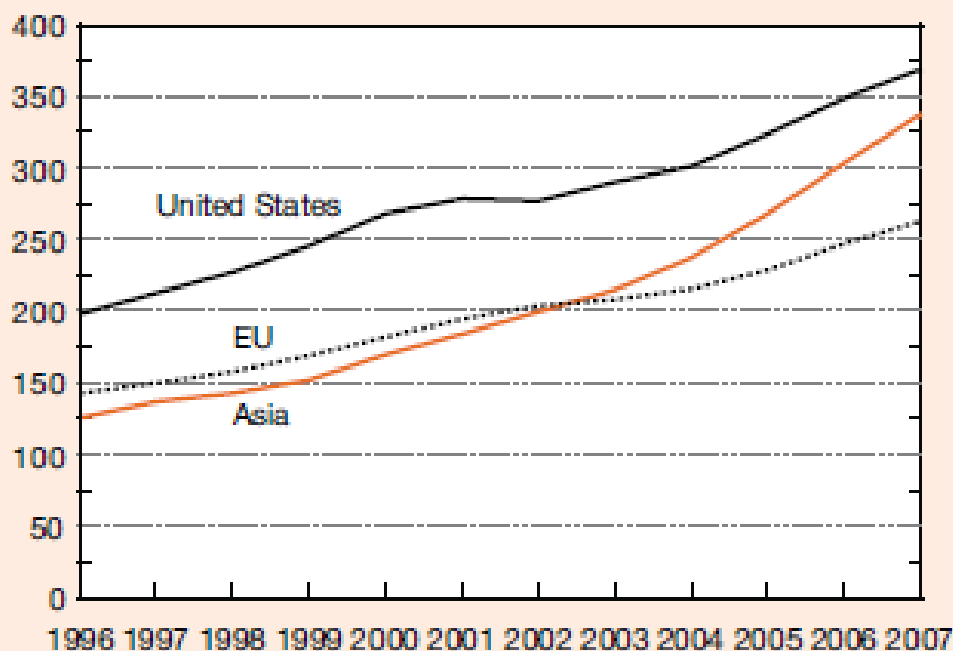
NOTE: Natural sciences include physical, biological, earth, atmospheric, ocean, agricultural, and computer sciences and mathematics.

SOURCES: China—National Bureau of Statistics of China, China Statistical Yearbook, annual series (Beijing), various years; Japan—Government of Japan, Ministry of Education, Culture, Sports, Science and Technology, Higher Education Bureau, Monbusho Survey of Education; South Korea—Organisation for Economic Co-operation and Development (OECD), Online Education Database, <http://www.oecd.org/education/database/>; United Kingdom—Higher Education Statistics Agency; Germany—Federal Statistical Agency, Prüfungen an Hochschulen, and OECD, Online Education Database, <http://www.oecd.org/education/database/>; and United States—National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey; and National Science Foundation, Division of Science Resources Statistics, Integrated Science and Engineering Resources Data System (WebCASPAR), <http://webcaspar.nsf.gov>.

Number of PhD thesis in S&E per year and country

Figure O-2  
R&D expenditures for United States, EU, and Asia:  
1996–2007

Dollars (billions)



EU = European Union

NOTE: Asia includes China, India, Japan, Malaysia, Singapore, South Korea, Taiwan, and Thailand. EU includes all 27 member states.

SOURCES: Organisation for Economic Co-operation and Development, *Main Science and Technology Indicators* (2009/1 and previous years); United Nations Educational, Scientific, and Cultural Organization (UNESCO) Institute for Statistics, [http://stats.uis.unesco.org/unesco/tableviewer/document.aspx?ReportId=143&1F\\_Language=eng](http://stats.uis.unesco.org/unesco/tableviewer/document.aspx?ReportId=143&1F_Language=eng); and National Science Foundation, Division of Science Resources Statistics, special tabulations.

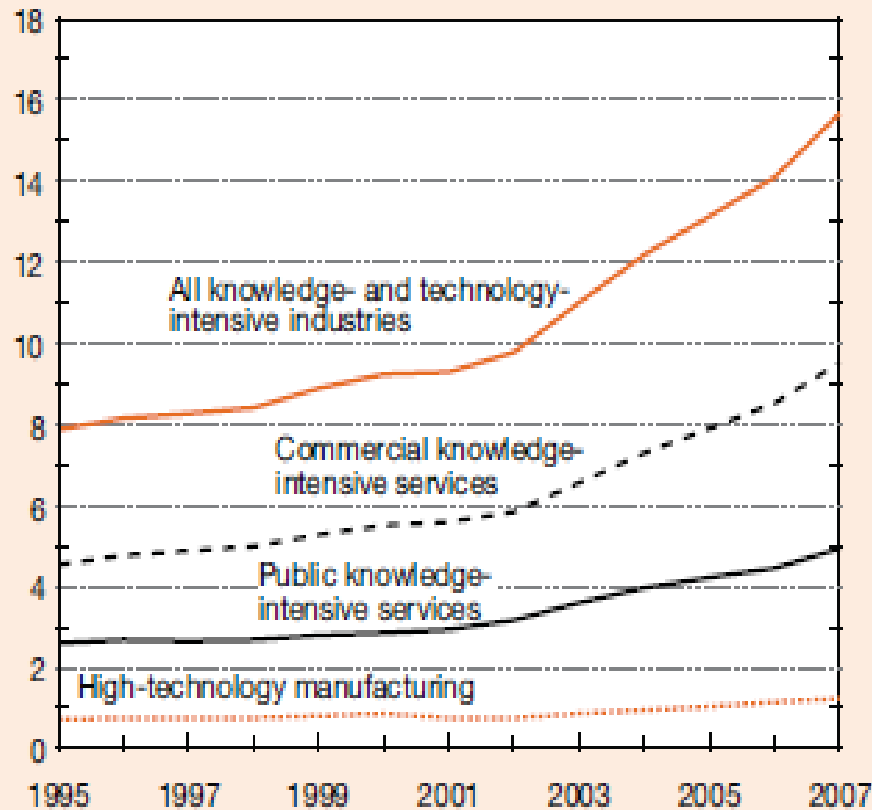
# The “knowledge society”: emergence of a global science and engineering system

- **Open (old style, restricted access industrial laboratories are isolated and not competitive in a globalized science system)**
- **Free, self-assembled, self-organized, self initiative , highly competitive, global, gigantic scientific community**
- **Closely interconnected in real time through the web**
- **Possessing particular values (curiosity, hard working, shearing and multiplication of intelectual property, open dissemination and teaching)**
- **Controlled by simple and widely accepted rules:  
Ethical codes of good practice, unrestricted publishing, external referees, independence of funding agencies and panel, advisory committees, strict avoidance of conflicts of interest**
- **Successful, lobbying ability, highly respected by society**



## Global value added of knowledge- and technology-intensive industries: 1995–2007

Current dollars (trillions)



$1.8 \times 10^{13}$  \$

NOTES: Industries defined by Organisation for Economic Co-operation and Development. See glossary for definitions of knowledge-intensive services and high-technology manufacturing.

SOURCE: IHS Global Insight, World Industry Service database, special tabulations.

## **NANO-TECNOLOGY : The industrial revolution of the XXI century**

Sciences and engineering based on the study, understanding , manipulation, designing tools, devices and applications of nano-scale structures, with properties depending on size, according to quantum physics rules.

**Nanotechnology is not new!**

Natural evolution has been building complex structures and systems using nano-scale components such as aminoacids, proteins, enzymes, DNA fibers, virus, cells.... capable of self-assemble , self-organize, interact and reproduce in large numbers to further evolve into living organisms.

**Life is based on nanotechnology!**

*National  
Nanotechnology  
Initiative January 21, 2000*

THE INITIATIVE AND ITS  
IMPLEMENTATION PLAN

White lighting would represent an enormous market for LEDs in households and workplaces.

Several methods can be used to produce white LED lighting, including combinations of colored LEDs and use of a single blue LED in combination with a phosphor.

These "white LEDs" will require a substantial investment in nanotechnology through development of advanced, efficient LEDs and phosphors.

**Dr. Mihail C. Roco**

**Senior Advisor for Nanotechnology, National Science Foundation**

Dr. Roco is the founding chair of the National Science and Technology Council's subcommittee on Nanoscale Science, Engineering and Technology (NSET), and is the Senior Advisor for Nanotechnology at the National Science Foundation.

**“My budget supports a major new National Nanotechnology Initiative, worth \$500 million. ... the ability to manipulate matter at the atomic and molecular level.**

**Imagine the possibilities: materials with ten times the strength of steel and only a small fraction of the weight -- shrinking all the information housed at the Library of Congress into a device the size of a sugar cube -- detecting cancerous tumors when they are only a few cells in size.**

**Some of our research goals may take 20 or more years to achieve, but that is precisely why there is an important role for the federal government.”**

--

[President William J. Clinton](#)





National Nanotechnology Initiative at Ten:  
**NANOTECHNOLOGY**  
**INNOVATION SUMMIT**

**DECEMBER 8-10, 2010**  
**GAYLORD CONVENTION CENTER**  
**WASHINGTON, DC**

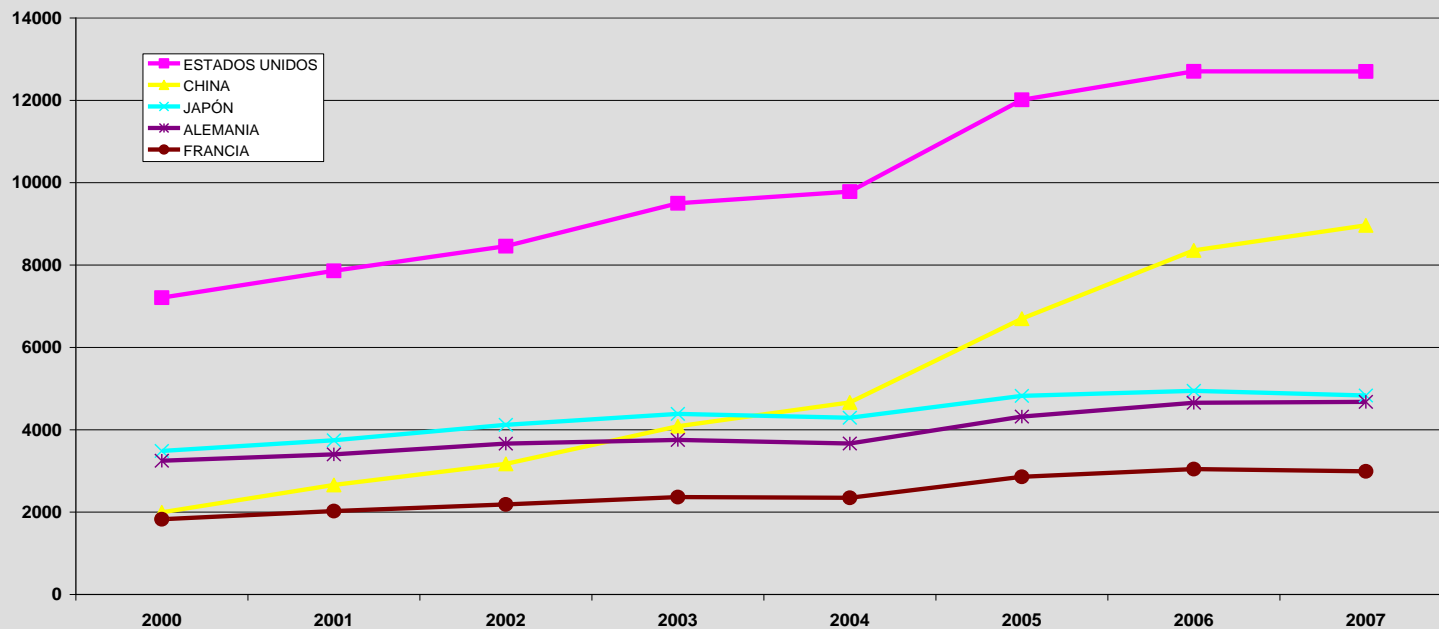
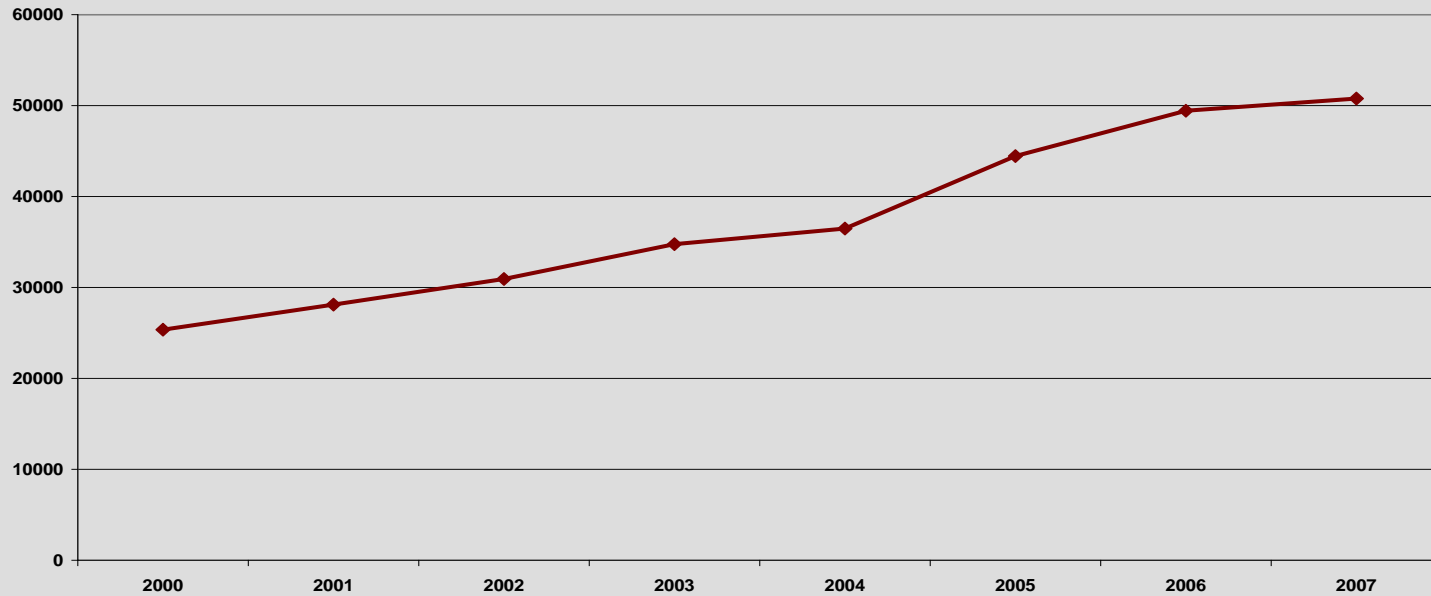
- National Nanotechnology Coordination Office
- Office of Science and Technology Policy
- National Aeronautics and Space Administration
- National Institutes of Health - NCI
- National Institutes of Health - NHGRI
- National Institutes of Health - NIBIB
- National Science Foundation
- National Institute of Standards and Technology
- US Department of Agriculture - FS
- US Department of Agriculture - NIFA
- US Department of Energy
- US Department of Transportation
- US Patent and Trademark Office

- ACTA Technology Inc.
- Advanced Biomimetic Sensors, Inc.
- Agilent Technologies
- Angstrom Materials
- Applied Nanotech, Inc.
- Argonne National Laboratory, Energy Systems Division
- Atomically Precise Manufacturing Consortium (APMC)
- Banyan Environmental, Inc.
- BEE International
- BioNanomatrix, Inc.
- Brewer Science, Inc.
- Bruker Corp
- Buckeye Composites
- California NanoSystems Institute
- Center for Hierarchical Manufacturing
- Center for Nanoscale Science & Technology - NIST
- Clariant Corporatio
- CytoViva, Inc.
- Envia Systems
- eSpin Technologies, Inc.
- Georgia Aerospace Systems
- Hewlett-Packard

Hoowaki, LLC  
IBM Research  
Idaho National Laboratory  
Innovative Materials and Processes, LLC  
Inpria Corporation  
Intelligent Material Solutions  
Lawrence Berkeley National Laboratory- The Molecular Foundry  
Liquidia Technologies, Inc.  
Lockheed Martin  
Los Alamos National laboratory  
Materials Research Institute at Northwestern University  
MDS Coating Technologies Corporation  
Modumetal, Inc.  
NanoAxis LLC  
Nanocomp Technologies, Inc.  
NanoInk, Inc.  
NanoIntegris  
NanoMech  
Nanomix, Inc.  
NanoProfessor  
NanoRods, LLC  
NanoScale Corporation  
Nanosphere, Inc.  
Nanosys, Inc.  
Nanotechnology Center for Learning and Teaching (NCLT)  
National Institute for Occupational Safety and Health - CDC  
National Reconnaissance Office Director's Innovation Initiative Program  
National Venture Capital Association  
New Jersey Institute of Technology  
nGimat  
North Carolina A&T State University and University of North Carolina at Greensboro - Joint School of NanoScience & Nano Engineering  
Northwestern University  
NovaCentrix  
NSF Nanoscale Science and Engineering Center for High-rate Nanomanufacturing

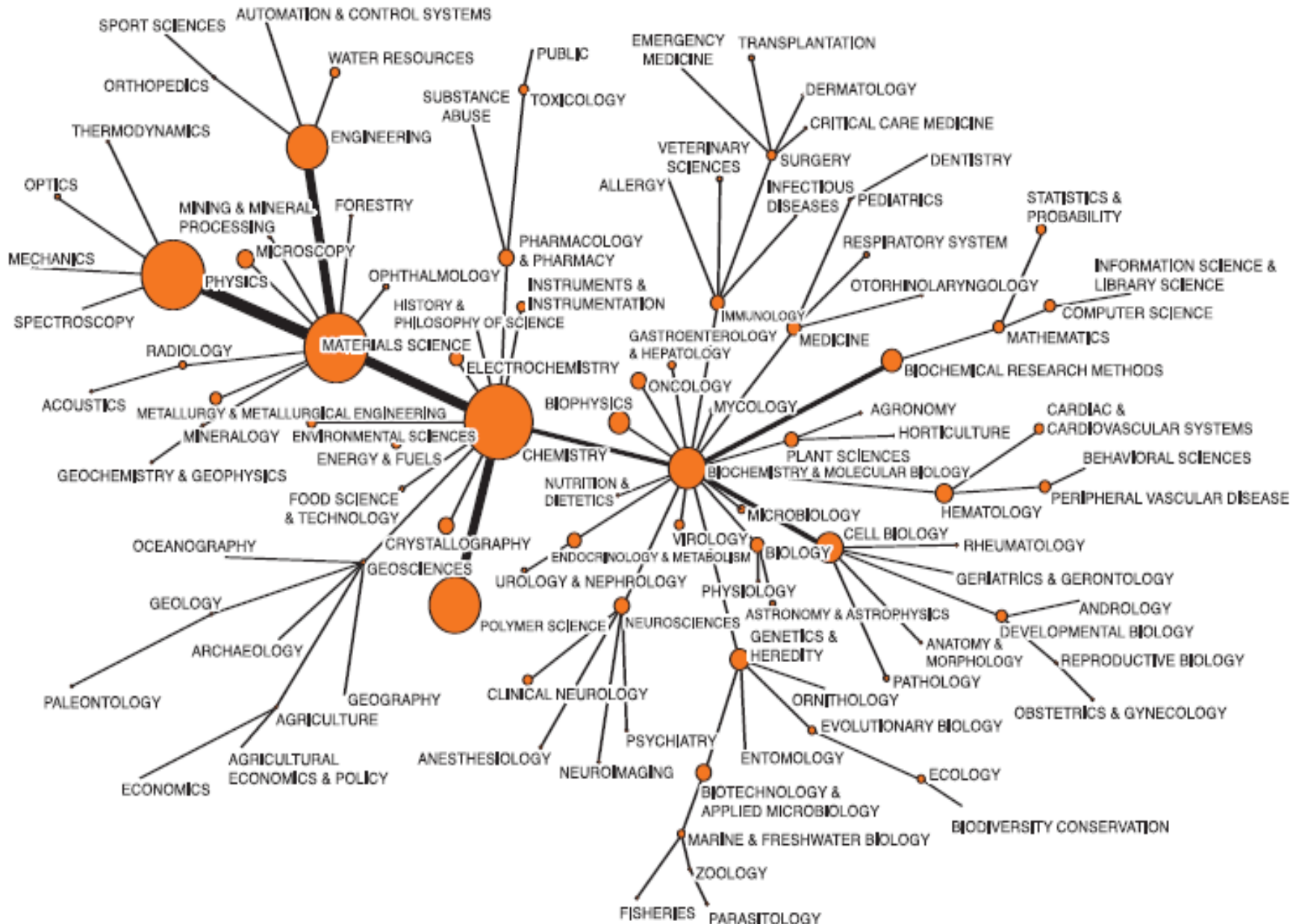
Oak Ridge National Laboratory - Industrial Technologies Program  
Oklahoma Nanotechnology Initiative  
ONAMI, Inc.  
Optomec, Inc  
Pacific Northwest National Laboratory  
Pennsylvania NanoMaterials Commercialization Center  
Pixelligent Technologies, LLC  
Planar Energy, Inc.  
QD Vision, Inc.  
Rensselaer Nanotechnology Center (RNC)  
Safer Nanomaterials and Nanomanufacturing Initiative  
Sandia and Los Alamos National Laboratories - Center for Functional Nanomaterials  
Savannah River National Laboratory  
Sensor Electronic Technology, Inc.  
SRI International  
Stanford University  
SouthWest NanoTechnologies  
Swan Chemical, Inc.  
Technology Innovation Program - NIST  
The Center for Integrated Nanotechnologies  
The Federal Laboratory Consortium  
Transformation Nanotechnologies, LLC  
University of Central Florida - NanoScience Technology Center  
University of Massachusetts Lowell  
University of North Carolina-Chapel Hill  
University of Oregon, Center for Green Materials Chemistry  
University of Pittsburgh, Petersen Institute of Nanoscience and Engineering  
University of Virginia, nanoStar Institute  
US Department of Energy - Nanoscale Science Research Centers  
Virginia Tech  
Vorbeck Materials Corp.  
Weinberg Medical Physics LLC  
Wildcat Discovery Technologies, Inc.

# ISI publications on nano- science and nano-engineering (2000-2007)



Fuente:  
Elaboración a  
partir de datos de  
SCI-WOS.

# nano-citations network for science and technology area (2007)



- Natural nanotechnology has been developed by evolution to create and maintain life, in equilibrium with Earth environment during billions of years
- Living beings incorporate very efficient nano-robot defense strategies (immune system) against external aggressors such as nanoparticles, virus, bacteria, artificial nanodevices.
- Most artificial nanoparticles and bona-fide nanodevices are detected, neutralized or encapsulated before they can create problems unless intentionally engineered to cheat the immune system.

**Volvox** is a Chlorophyte, or green alga. It exists as a grand spherical colony. Each little alga cell within the colony bears two flagella, whip-like hairs. The individual alga cells are connected to each other by thin strands of cytoplasm that enable the whole colony to swim in a coordinated fashion. The individual alga cells also have small red eye spots.



A close-up of individual cells within the colony, 2 flagella and red eyespot are visible.



The individual alga cells are connected by thin strands of cytoplasm forming a self-assembled membrane.

## The ultimate best-seller

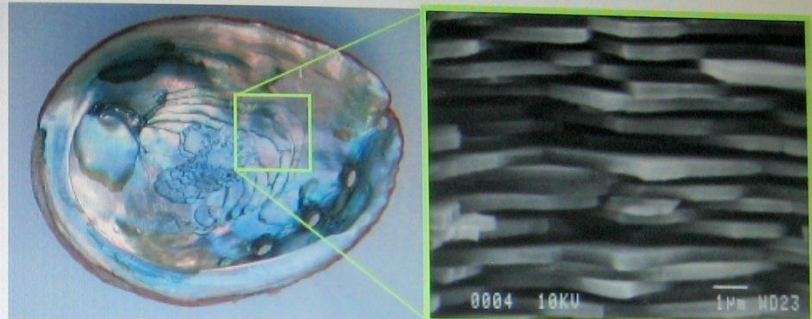
Green algae code has been edited for 1 billion years without much changes.  
Estimated number of copies  $>10^{32}$



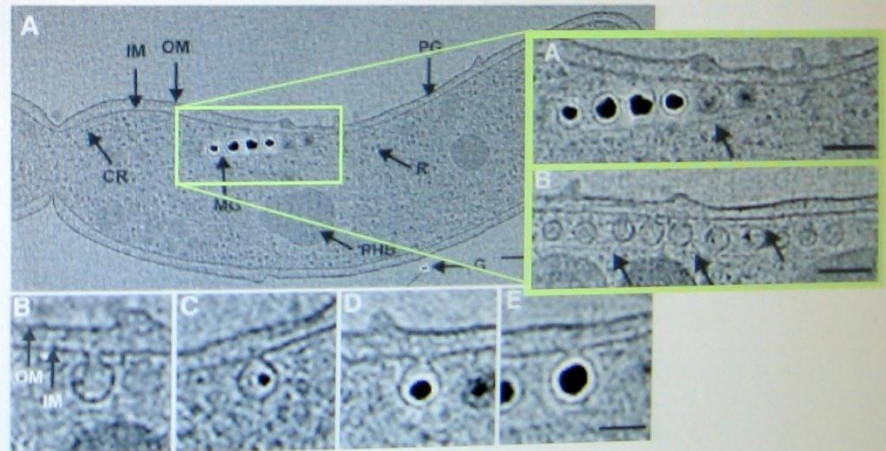
# Biomaterialization



Diatom,  $\text{SiO}_2$  Morse group, UCSB



Abalone shell  $\text{CaCO}_3$  Belcher et al. *Nature* (1996)



Magnetosome Bacteria,  $\text{Fe}_3\text{O}_4$

A. Komeili et al., *Science* 311, 242 (2006)

mmol/kg  
μmol/kg  
pmol/kg

Can we make

GaAs?

Si?

Co<sub>3</sub>O<sub>4</sub>?

LiFePO<sub>4</sub>?

N<sub>2</sub>

O<sub>2</sub>



Na<sup>+</sup>

Mg<sup>2+</sup>

Ca<sup>2+</sup>

Ga<sup>2+</sup>

SO<sub>4</sub><sup>-</sup>

Fe<sup>2+/3+</sup>

Co<sup>2+</sup>

Si

Cl<sup>-</sup>

Li<sup>+</sup>

PO<sub>4</sub><sup>-</sup>

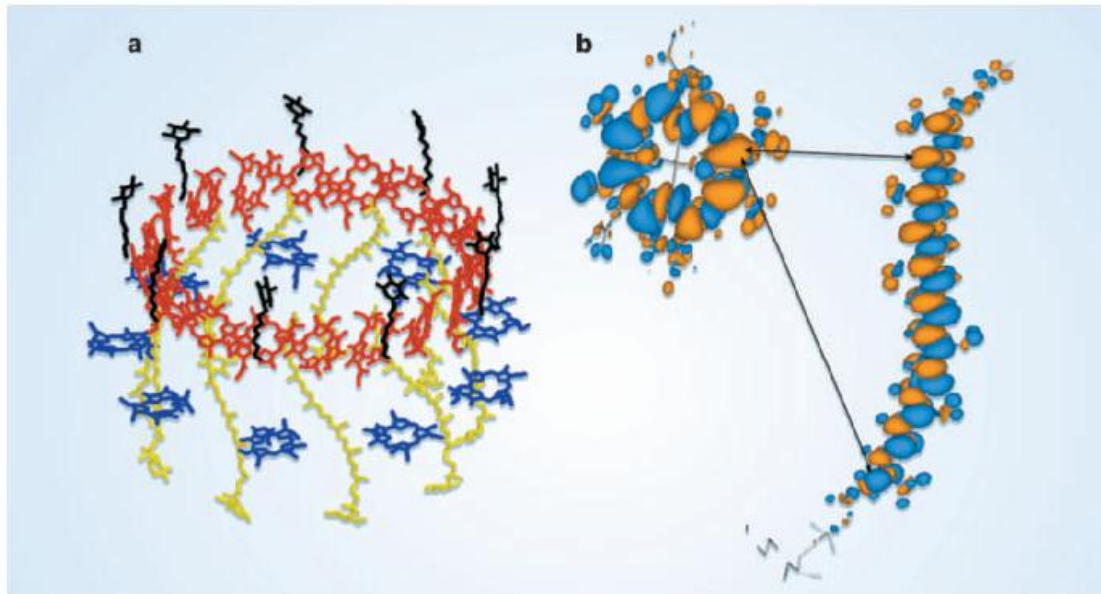


Figure 1 Designs for energy transfer. a, Chromophores in a model of light-harvesting complex (LH) 2 from the bacterium *Rhodospseudomonas acidophila* (Fig. 2), radius 3.4 nm. B800 bacteriochlorophyll molecules (blue) are widely spaced and constitute simple donors, but the B850 molecules (red) interact strongly and constitute a complex acceptor in a confined geometry. Through such interactions between molecules, photosynthetic organisms employ quantum mechanics to funnel absorbed photons to the reaction centre. On time scales of less than 1 picosecond, energy flows from the 800-nm-absorbing B800 molecules to the 850-nm-absorbing B850 molecules, and from the carotenoids (yellow) to both B800 and B850. b, A real-space picture of electronic interactions between molecules on a submolecular scale, as seen in the transition densities of LH2 bacteriochlorophyll (left) and carotenoid (right) molecules calculated from ground- and excited-state wavefunctions. The different colours represent the sign of the electron density. Instead of one average separation between donor and acceptor defining the energy transfer rate, as in Förster theory, there are clearly many length scales (examples arrowed) over which the various parts of the donor and acceptor electron densities interact.

NATURE | VOL 431 | 16 SEPTEMBER 2004 | www.nature.com/nature

## Quantum mechanics for plants

Graham R. Fleming and Gregory D. Scholes

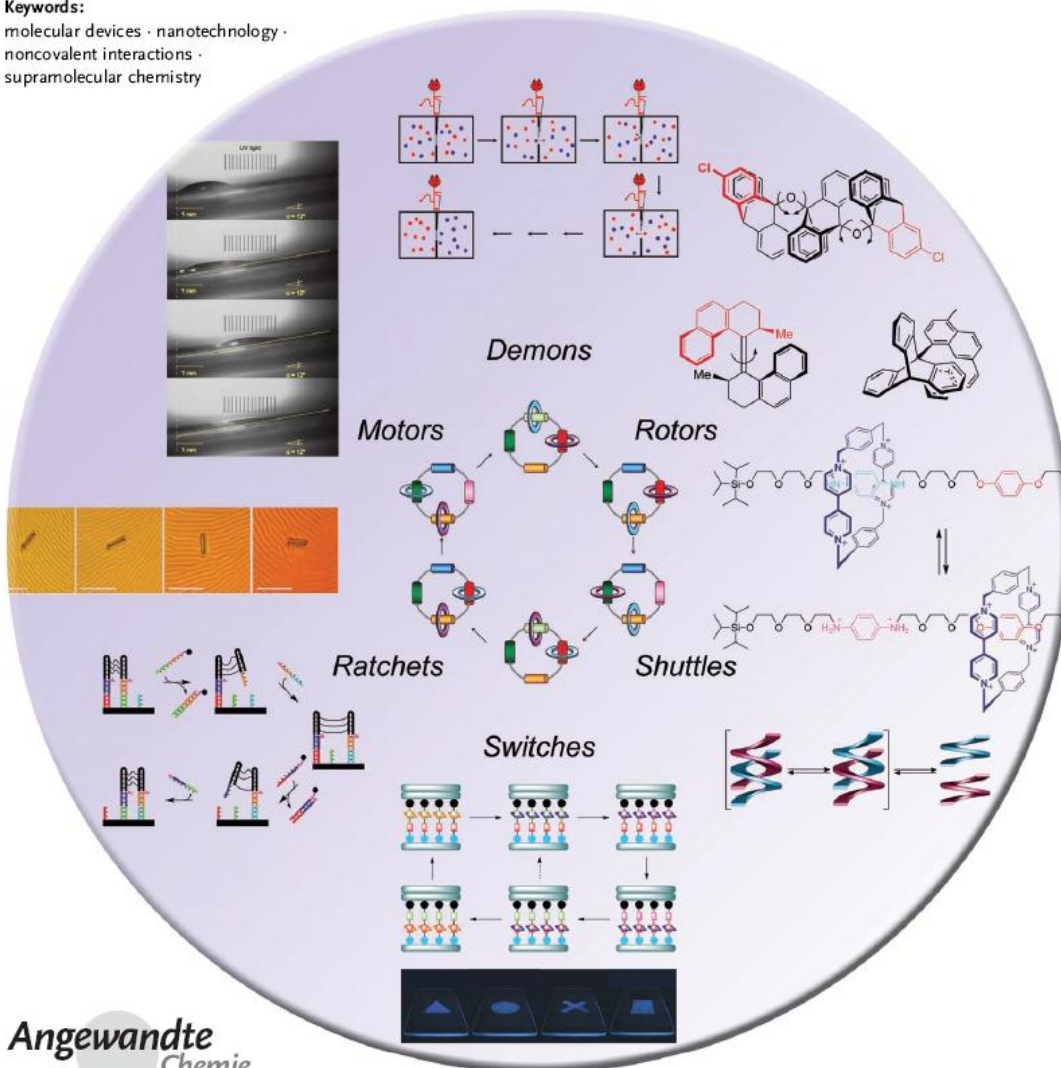
To what extent do photosynthetic organisms use quantum mechanics to optimize the capture and distribution of light? Answers are emerging from the examination of energy transfer at the submolecular scale.

# Synthetic Molecular Motors and Mechanical Machines

Euan R. Kay, David A. Leigh,\* and Francesco Zerbetto\*

## Keywords:

molecular devices · nanotechnology ·  
noncovalent interactions ·  
supramolecular chemistry

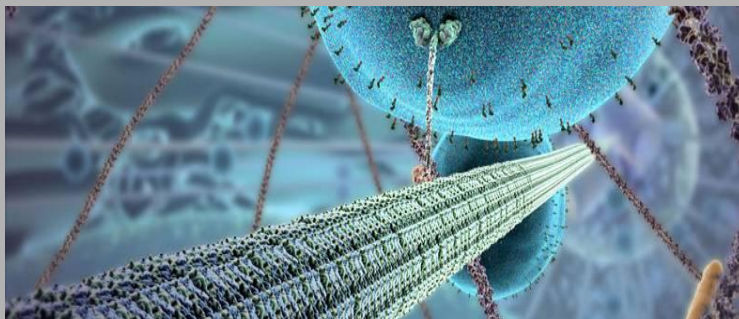
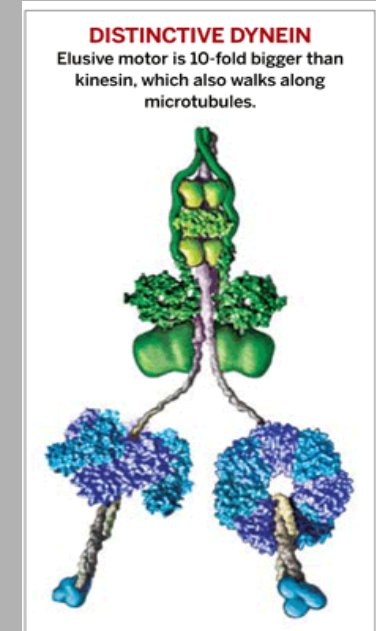
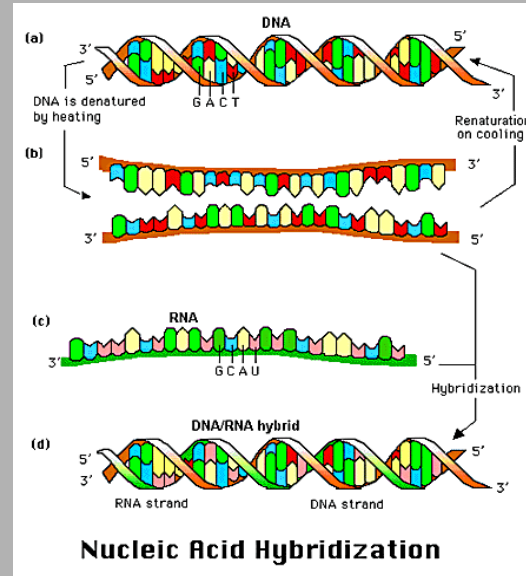
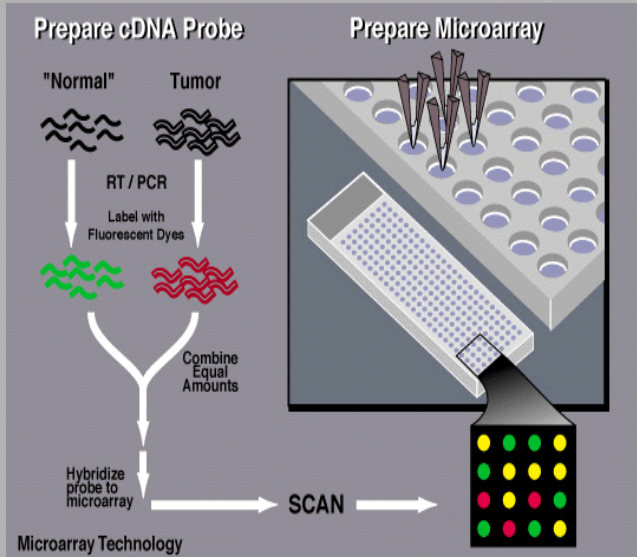


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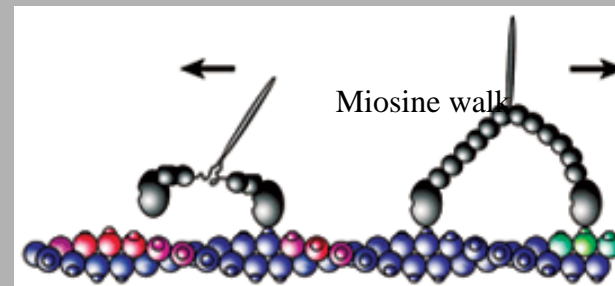
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# Nanotechnology in biology and medicine:

biosensors, diagnostic kits, molecular image , genomic tools, micro-arrays , functionalized nanoparticles, drug carriers , nano-machines or robots.....



Kinesine transporting a load along a cell microtubule



Small sizes that matter:

# Opportunities and risks of Nanotechnologies

Report in co-operation with the OECD International Futures Programme



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Allianz Center for Technology

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## 7.3. Conclusions for industrial and commercial insurance

Several steps should get high priority for all stakeholders involved in nanotechnologies in the next few years. These include:

➔ Independent research into the risks of nanoparticles, exposure routes and the effects on humans and the environment. Strengthening the evidence base and allowing public access to the results. Transparency will be a key factor for adequate risk management and public trust,

Developing comparative risk classification schemes and databases, possibly for cross-cutting use by different organisations. Focusing underwriters' and risk engineers' efforts on critical issues such as direct exposure to nanoparticles or their release into the environment.

➔ Bringing the discussion about nanotechnology to the front line of insurance, that is, to meetings between clients and underwriters and risk engineers,

➔ Encouraging a willingness to discuss the subject in a way that is not dominated by ideology, and making good use of reviews by independent organisations. Using sustainability as a vision and success criterion.

## Risk management approach to nanotechnology from an insurer's perspective

### Risk awareness

There is a much uncertainty about emerging risks associated with nanotechnologies. It will take years for studies about exposure routes, the effects on human health and the environment to reach conclusive results. While it is still too early to make conclusive statements, our own risk management will need constantly to "put its feelers out."

The first step in our risk management toolbox is to create an awareness of the risks and an understanding of the hazards. The first step is to determine how underwriters and risk engineers should deal with critical issues such as direct exposure to nanoparticles or their release into the environment.

# Scientific Committees



- on consumer products
- on emerging and newly identified health risks
- on health and environmental risk



Scientific Committee on Consumer Products  
SCCP

PRELIMINARY OPINION ON

SAFETY OF NANOMATERIALS IN COSMETIC PRODUCTS

**Table 2. Examples of nanocosmetic products in the market**

<p><b>After sun products</b> VITAMIN NANOCAPSULES</p>
<p><b>Anti aging</b> FULLERENES Firming Anti-Oxidant Serum FULLERENES Aging Skin Resuscitating Serum MICRONIZED GLUCONOLACTATE Anti Aging Finishing Powder MICRONIZED INGREDIENTS Vitamin A and C Serum MICRONIZED LIPOSOMES Serum MICRONIZED ZINC OXIDE, MICRONIZED TITANIUM DIOXIDE NANOENCAPSULATED INGREDIENTS RETINOL NANOCAPSULES VITAMIN NANOSOMES OF SODIUM LACTATE, NANOSOMES OF CALENDULA, NANOSOMES OF WITCH HAZEL, NANOSOMES OF GINSENG, NANOSOMES OF UREA, NANOSOMES OF VITAMIN A AND E, NANOSOMES OF PRO-VITAMIN B5, NANOSOMES OF ALPHA-BISABOLOL AND GERMAL II NANOSOMES OF VITAMIN A</p>
<p><b>Anti-itch / rash cream</b> MICRONIZED ZINC OXIDE NANOENCAPSULATED INGREDIENTS</p>
<p><b>Around-eye cream</b> FULLERENES LYPHAZOME NANOSPHERES MICROSOME Eye Gel MICRONIZED LIPOSOMES</p>
<p><b>Blush</b> MICRONIZED INGREDIENTS MICRONIZED POWDER BRUSHES MICRONIZED TITANIUM DIOXIDE (COATED or not WITH DIMETHICONE) MICRONIZED ZINC OXIDE</p>
<p><b>Body firming lotion</b> NANO_DELIVERY SYSTEM Reduction Anti-Cellulite NANOSOMES OF CENTELLA ASIATICA</p>
<p><b>Body wash /cleanser</b> NANOSOMES OF VITAMIN A</p>
<p><b>Bronzer/highlighter</b> MICRONIZED ITALIAN TALC POWDER MICRONIZED ROSE QUARTZ POWDER, MICRONIZED TOPAZ POWDER MICRONIZED ZINC OXIDE NANO-VITAMINS</p>
<p><b>Camouflage makeup</b> MICRONIZED GLUCONOLACTATE</p>
<p><b>Concealed</b> MICRONIZED POWDER MICRONIZED TITANIUM DIOXIDE, MICRONIZED ZINC OXIDE NANOSPHERES OF HYALURONIC ACID AND FULVIC ACID</p>
<p><b>Conditioner</b> MICRONIZED TITANIUM DIOXIDE</p>
<p><b>Diaper cream</b> MICRONIZED ZINC OXIDE</p>

## SAFETY OF NANOMATERIALS IN COSMETIC PRODUCTS



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## 7.3. Conclusions for industrial and commercial insurance

Several steps should get high priority for all stakeholders involved in nanotechnologies in the next few years. These include:

➔ Independent research into the risks of nanoparticles, exposure routes and the effects on humans and the environment. Strengthening the evidence base and allowing public access to the results. Transparency will be a key factor for adequate risk management and public trust,

Developing comparative risk classification schemes and databases, possibly for cross-cutting use by different organisations. Focusing underwriters' and risk engineers' efforts on critical issues such as direct exposure to nanoparticles or their release into the environment.

➔ Bringing the discussion about nanotechnology to the front line of insurance, that is, to meetings between clients and underwriters and risk engineers,

➔ Encouraging a willingness to discuss the subject in a way that is not dominated by ideology, and making good use of reviews by independent organisations. Using sustainability as a vision and success criterion.

## Risk management approach to nanotechnology from an insurer's perspective

### Risk awareness

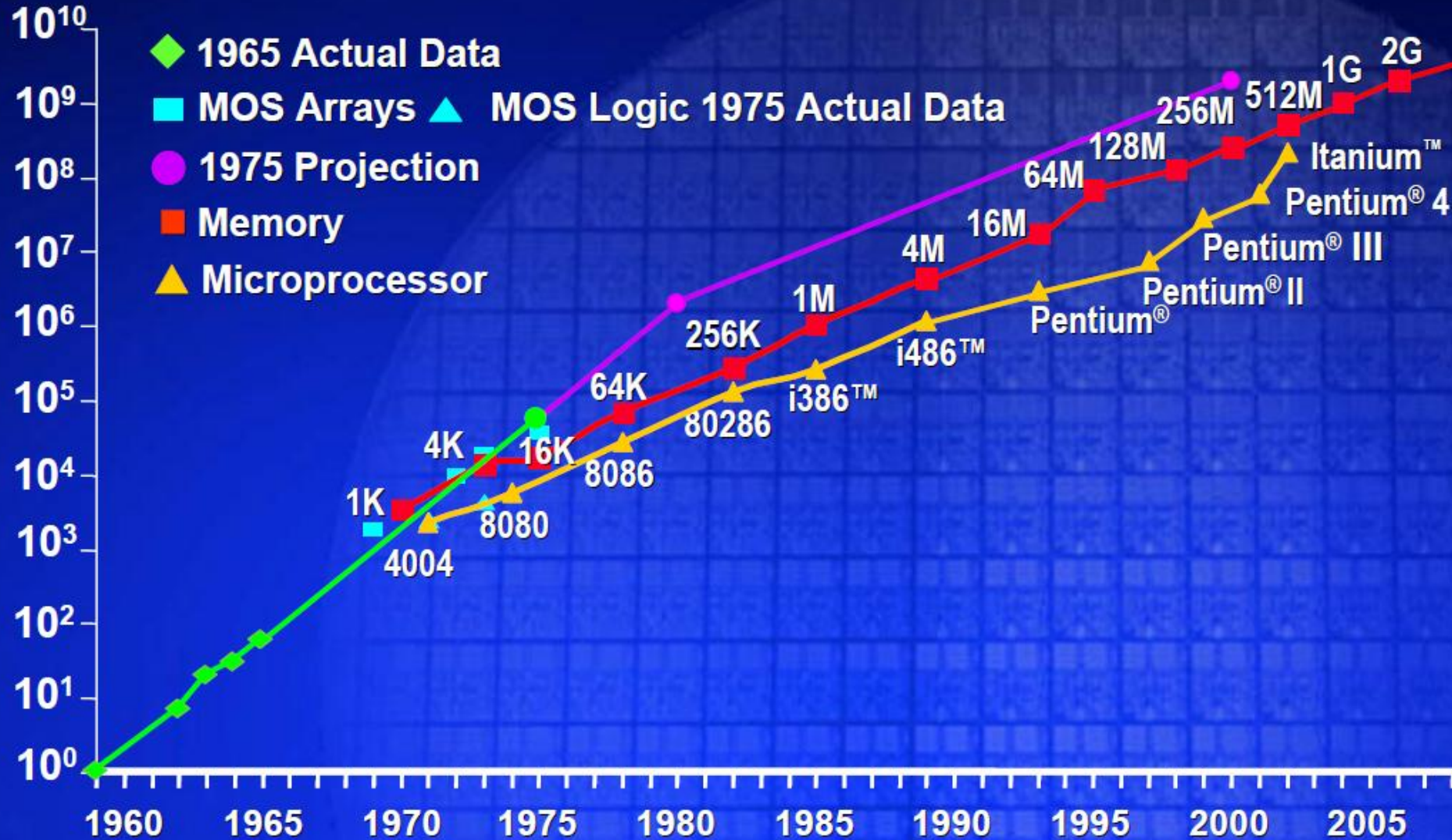
There is a much uncertainty about emerging risks associated with nanotechnologies. It will take years for studies about exposure routes, the effects on human health and the environment to reach conclusive results. While it is still too early to make conclusive statements, our own risk management will need constantly to "put its feelers out."

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# Nano-electronics and Nano-photonics

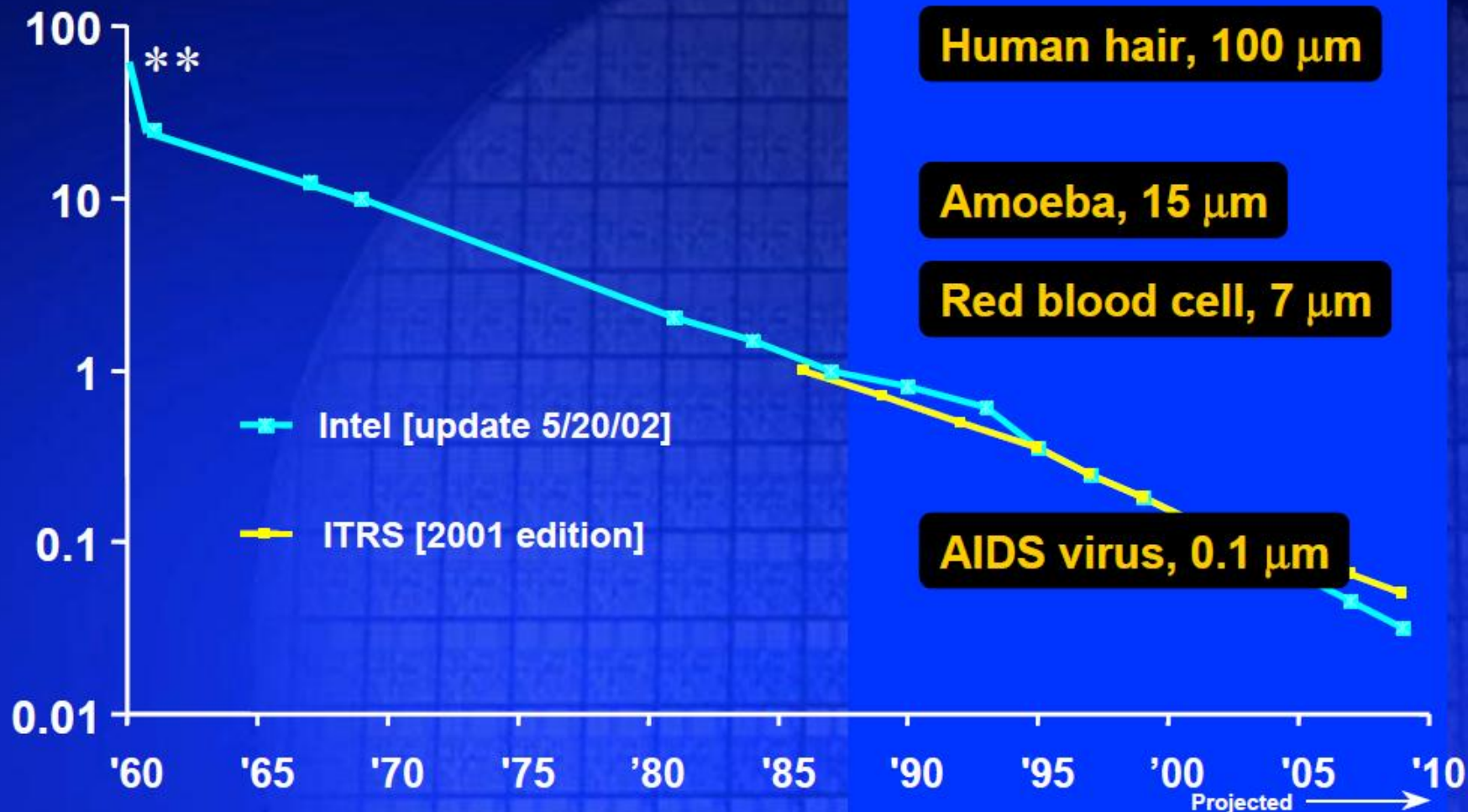
# Integrated Circuit Complexity

Transistors  
Per Die



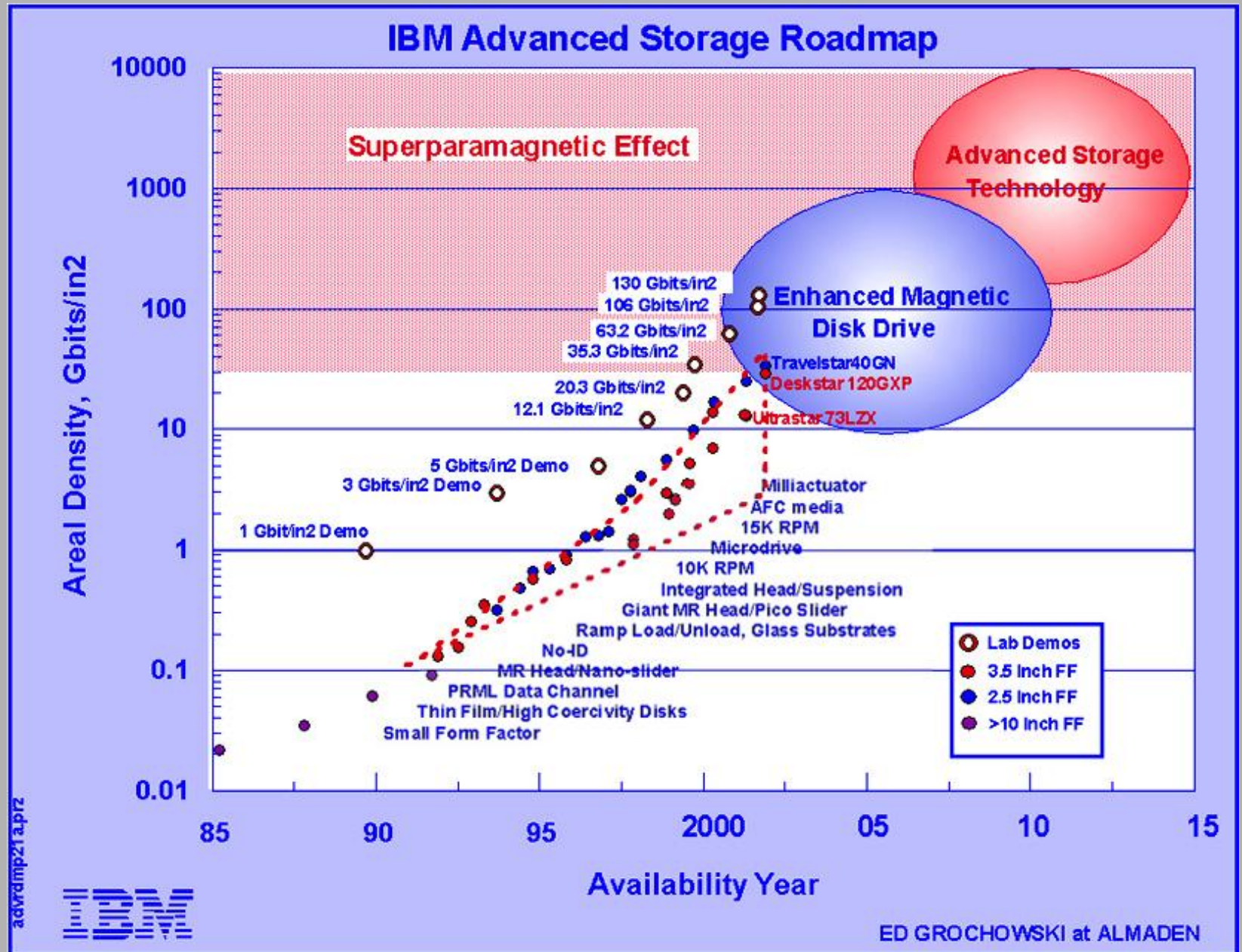
# Minimum Feature Size

Feature Size  
(microns)



\* Planar Transistor; remaining data points are ICs.  
Source: Intel, post '96 trend data provided by SIA  
International Technology Roadmap for Semiconductors (ITRS)  
† [ITRS DRAM Half-Pitch vs. Intel "Lithography"]

# Moore law for current hard disk technology



# Applications of nanostructured III-V Semiconductors (Qwells, Qdots...)

(GaAs, InP, InAs, GaP, GaN, GaSb, AlGaAs, AlInAs ... GaInAsP....GaInN, AlInN )



LEDs (aprox. 10.000 milion diodes LEDs/ year)

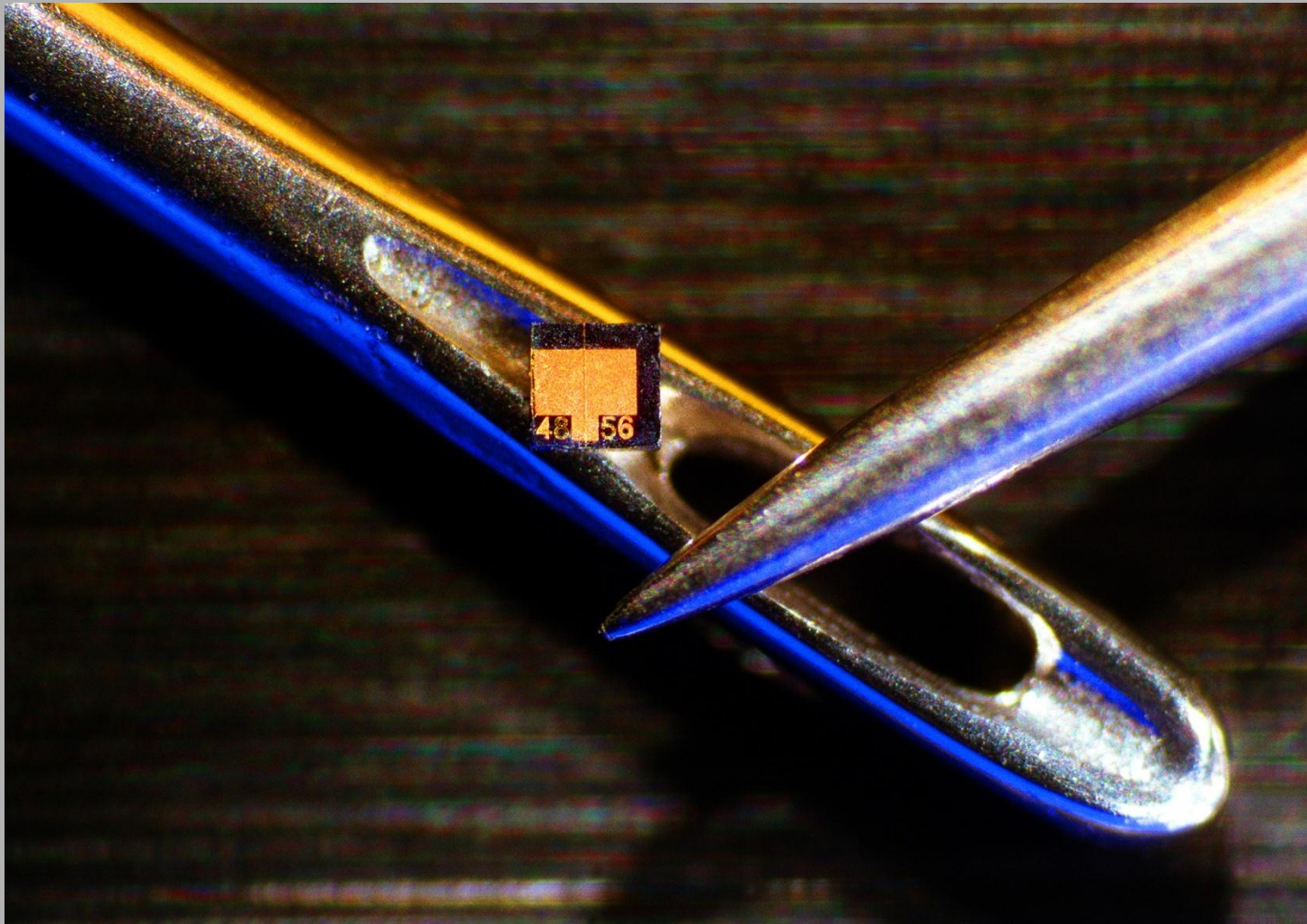
- Traffic lights
- White illumination, computer, TV , screens
- Automobil headlights

LASER diodes ( aprox. 1000 milion Lasers /year):

- Fiber optics communications
- Reading and writing heads for CDs and DVDs
- Laser printers
- Optical sensors, bio-sensors
- Metrology
- Surgery, dentistry,
- Micromachining, welding
- 3D TV



Micrograph of GaAs (10 mW) laser diode chip compared to a needle eye





**Unloading a cassette containing sixty four-inch epitaxied aluminum gallium arsenide wafers.**

Large scale production of nano-electronic and nano-optoelectronic devices and integrated circuits

# INTERNET

Quantum wells and Quantum dots lasers and integrated nanoelectronic and nanophotonic circuits are enabling technologies for fiber optics communications and, therefore, for INTERNET

- Intercontinental Information transmission worldwide

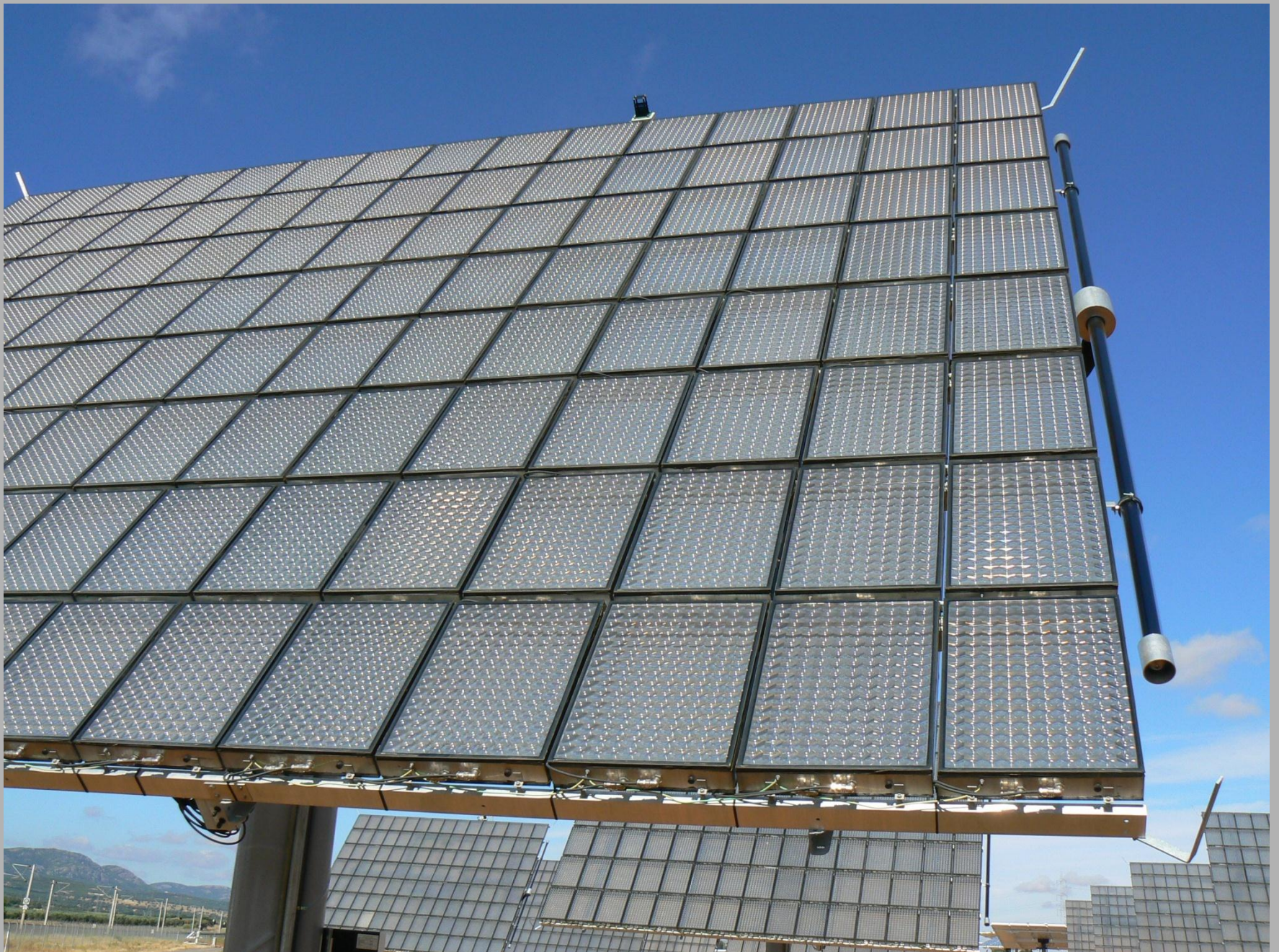
at 10 Gbit/s per channel (>100 channels/fiber)

at 2/3 of light velocity

- About 2000 milion users interconnected in real time, accessing data banks of nearly unlimited capacity and aided by artificial intelligent search engines.

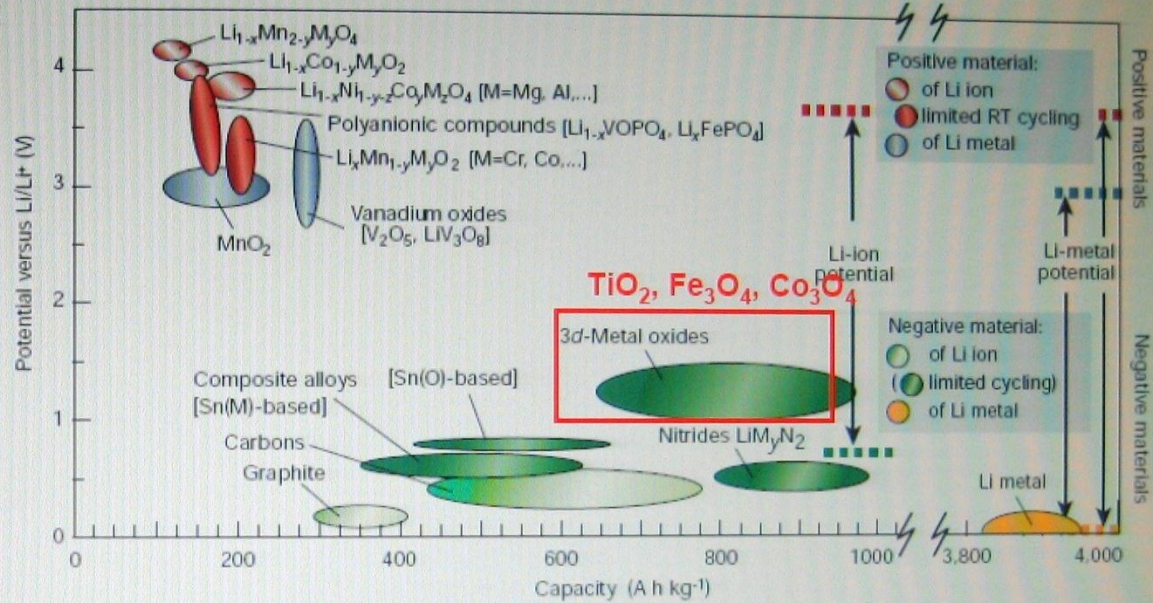
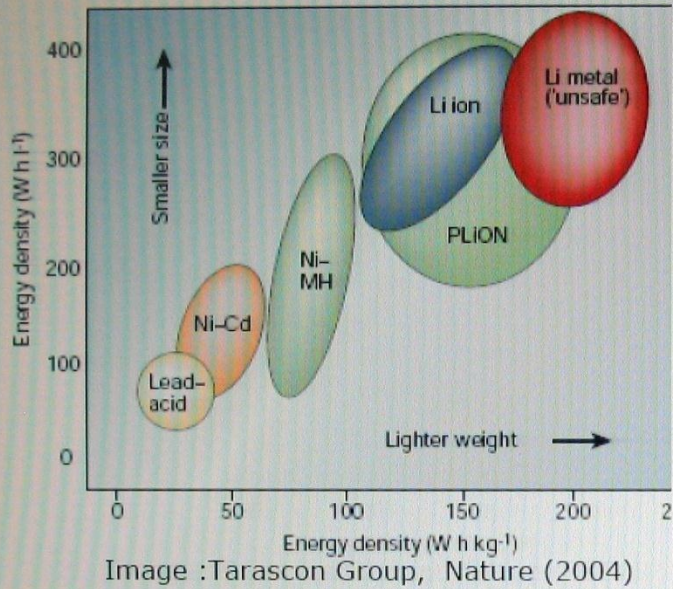
- **Implications?**

A global artificial intelligence system, incorporating as neurons not only scientist but nearly all people, has been born and is already there



Highly efficient concentration GaAs solar panels ISFOC Puertollano 2009

# Li Ion Battery Technology

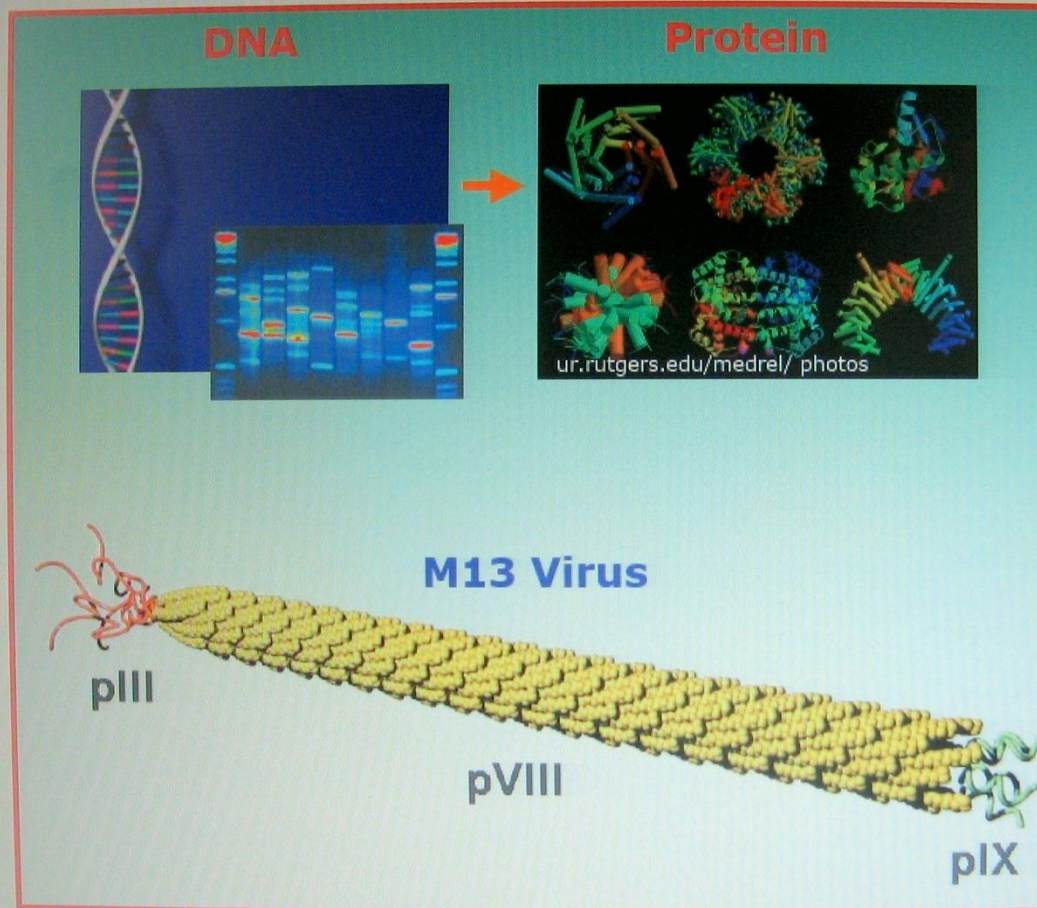


## Li ion based battery

- Low density (0.53 g/cm<sup>3</sup>)
- Low electron negativity
- high electron/atom mass ratio

The advent of nanoelectronics and the resulting need for Li ion batteries of corresponding size are driving the development of nanoscale components and methods for their assembly.

Self-assembling of nanoelectrodes of cobalt oxide by genetically modified virus (tobacco leaf virus)



Functional Devices



# Code of Good Scientific Practice

**CSIC ETHICS COMMITTEE  
PRESIDENT**

**D. PEDRO PUIGDOMENECH ROSELL PROFESOR DE INVESTIGACION CSIC**

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## Concluding remarks

Nanocodes are necessary and must be updated regularly in view of the rapid evolution of the particular field and the apparition of unexpected applications, new products and new social and safety implications

Due to the wide interdisciplinary character of nanosciences, covering various research areas, fundamental research carried out at institutions as CSIC and Universities can still be controlled by more general Codes of Good Research Practices

An open discussion and analysis of the deep social implications of new technologies must be promoted within the scientific community and widely transmitted to the public.